

W-9 Form: Request for Taxpayer Identification Number and Certification for Prizes, Awards, and Research Participants

Overview

Taxable Income

The value of prizes, awards or other non-wage payments you receive from UTA are considered taxable income and must be reported to the Internal Revenue Service (IRS).

Non-Employees

- UTA is required to file Form 1099 with the IRS if payments exceed \$600 in value in any one calendar year.
- UTA does not file Form 1099 if payments are *less* than \$600 in value in any one calendar year. You are responsible for reporting additional income.

UTA Employees

All payments are included in your W-2 and reported as taxable income to the IRS.

Taxpayer Identification Number

A person required to file an information return with the IRS must provide their Taxpayer Identification Number (TIN) to report income.

- This is your Social Security Number (SSN).
- If you do not have a SSN, provide your Individual Taxpayer Identification Number (ITIN).

Purpose of this Form

Use this form if you are a U.S. person (including a resident alien), to provide your TIN and to:

1. Certify that your TIN is correct
2. Certify that you are not subject to backup withholding (unless notified otherwise by the IRS)
3. Claim exemption from backup withholding if you are a U.S. exempt payee.

Research Participant Details

Name _____

Address (number, street, and apt or suite) _____

City, State _____ ZIP Code _____

Phone _____ Email _____

UTA ID _____ TIN _____

▶ *Your UTA ID is your 1000 number. Your TIN is either your SSN or your ITIN, as mentioned in the Overview section.*

Are you a UTA employee? Yes No Amount or value of this payment \$ _____

Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct TIN.
- I am a U.S. person (including a U.S. resident alien).
- I am **not** subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.

▶ *If you have been notified by the IRS that you are subject to backup withholding, initial next to this statement:*

_____ I am subject to backup withholding.

Signature of U.S. person _____

Date _____